



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/CA98/01164 <b>(22) International Filing Date:</b> 14 December 1998 (14.12.98) <b>(30) Priority Data:</b> 08/991,773                      16 December 1997 (16.12.97)    US <b>(71) Applicant (for all designated States except US):</b> CONNAUGHT LABORATORIES LIMITED [CA/CA]; 1755 Steeles Avenue West, North York, Ontario M2R 3T4 (CA). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> ROVINSKI, Benjamin [CA/CA]; 40 Winding Lane, Thornhill, Ontario L4J 5H6 (CA). YAO, Fei-Long [CN/CA]; 81 Elsa Vineway, North York, Ontario M2J 4H8 (CA). CAO, Shi, Xian [CA/CA]; Apartment #408, 716 The West Mall, Etobicoke, Ontario M9C 4X6 (CA). <b>(74) Agent:</b> STEWART, Michael, I.; Sim & McBurney, 6th floor, 330 University Avenue, Toronto, Ontario M5G 1R7 (CA).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>
<b>(54) Title:</b> CONSTITUTIVE EXPRESSION OF NON-INFECTIOUS HIV-LIKE PARTICLES <b>(57) Abstract</b> <p>Non-infectious, non-replicating immunogenic HIV-like particles are produced by stable long-term constitutive expression in mammalian cells by eliminating elements toxic to the mammalian cells. An expression vector contains a nucleic acid molecule comprising a modified HIV genome devoid of long terminal repeats and wherein <i>Tat</i> and <i>vpr</i> sequences are functionally disabled and a constitutive promoter operatively connected to the modified HIV genome for constitutive expression of the modified genome to produce the HIV-like particles.</p>		

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TITLE OF INVENTIONCONSTITUTIVE EXPRESSION OF NON-INFECTIOUS  
HIV-LIKE PARTICLESFIELD OF INVENTION

5 This invention relates to the expression of non-infectious, non-replicating immunogenic HIV-like particles and, in particular, to genetic modifications required to obtain long term high level constitutive  
10 expression of such particles.

BACKGROUND TO THE INVENTION

Human immunodeficiency virus is a human retrovirus and is the etiological agent of acquired immunodeficiency syndrome (AIDS). It is estimated that  
15 more than 18 million people have been infected with HIV as of mid 1996 (ref. 1 - various references are referred to in parenthesis to more fully describe the state of the art to which this invention pertains. Full bibliographic information for each citation is  
20 found at the end of the specification, immediately preceding the claims. The disclosure of these references are hereby incorporated by reference into the present disclosure).

As the HIV-1 epidemic continues to spread world  
25 wide, the need for an effective vaccine remains urgent. Efforts to develop such a vaccine have been hampered by three main factors: (a) the extraordinary ability of the virus to mutate; (b) inability of most known specificities of anti-HIV antibodies to neutralise HIV  
30 primary isolates consistently; and (c) lack of understanding of the correlates of protective immunity to HIV infection. In view of the complex biology of HIV-host interactions, the most fruitful avenue may be

development of multivalent HIV immunogens tailored to HIV isolates in specific geographical locations.

CD8 CTL that kill HIV-infected cells and antibodies that broadly neutralise HIV primary isolates might be protective anti-HIV immune responses in uninfected individuals who are subsequently exposed to HIV (ref. 2).

The definition of a successful preventative HIV immunogen is controversial. Protective anti-HIV immune responses may prevent HIV infection completely, may allow only transient infection, leading to clearance of virus, or may merely limit the extent of HIV infection, but in so doing prevent the development of AIDS. One suggestion is that clearance of HIV occasionally occurs after both maternal-fetal HIV transmission (ref. 3) and sexual transmission of HIV (ref. 4). Consequently, if protective anti-HIV immune responses could be induced by an immunogen in an HIV-uninfected person, protection might be achieved via early termination of HIV infection.

It has been shown that anti-recombinant (r) gp120 envelope antibodies raised in animals or in human volunteers neutralise HIV grown in laboratory-adapted T-cell lines but not primary isolates of the virus grown in peripheral blood mononuclear cells. This observation raises important questions about the roles of various specificities of neutralising antibodies in protection against HIV. The predominant types of anti-HIV neutralising antibodies raised against gp120 are antibodies against the third variable (V3) region of gp120, as well as antibodies against the conformationally determined CD4 binding site centred around the fourth constant (C4) region of gp120. Although laboratory-adapted variants are pathogenic and

have caused AIDS in man after laboratory accidents (ref. 5), the relevance of these variants *in vivo* in community-acquired infections is unknown. Serum concentrations of antibodies against the V3 gp120 region and of antibodies that neutralise laboratory-adapted HIV strains do not protect individuals from developing AIDS (ref. 6), nor do anti-V3 antibodies seem protective against maternal-fetal HIV transmission (ref. 7).

Thus, for induction by HIV immunogens of neutralising antibodies to prevent HIV infection, HIV immunogens are probably needed which are capable of inducing anti-HIV antibodies that neutralise both HIV laboratory-adapted isolates and HIV primary isolates grown in peripheral blood mononuclear cells (ref. 8).

There is suggestive evidence that envelope oligomers of HIV primary isolates may be appropriate immunogens for induction of anti-HIV neutralising antibodies against primary HIV isolates grown in peripheral blood mononuclear cells. Future studies are expected to focus on the envelope of HIV primary isolates as the target of neutralising antibodies. If HIV envelope oligomers are successful in inducing antibodies that neutralise HIV primary isolates, the neutralising antibody specificity may be variant specific and, if so, the issue of HIV variability would still need to be addressed.

Several candidate vaccines, based on different concepts, are at different stages in the HIV vaccine development pipeline. Candidate vaccines based on the subunit recombinant envelope concept and produced in mammalian cells have been shown to protect chimpanzees from HIV-1 infection and to be safe and reasonably immunogenic in humans, inducing neutralizing

antibodies. A second generation of candidate vaccines, which are based on live vectors expressing the envelope and other HIV-1 genes, and which are capable of inducing CTLs are beginning to be evaluated in human trials. Newer generations of candidate vaccines now being mostly explored in animal experiments are using combinations of subunit recombinant proteins or live vectored vaccines with other immunogens, such as synthetic peptides or pseudovirions, or are based on more novel approaches, including nucleic acid immunization and perhaps whole-inactivated or live attenuated vaccines.

However, there is a clear need for immunogenic preparations incorporating antigens or antigen fragments from primary or clinical HIV isolates. These preparations will be useful as vaccine candidates, as antigens in diagnostic assays and kits and for the generation of immunological reagents for diagnosis of HIV and other retroviral disease and infection.

Particular prior art immunogenic preparations include non-infectious, non-replicating HIV-like particles. PCT applications WO 93/20220 published October 14, 1993 and WO 91/05860 published May 2, 1990 (Whitehead Institute for Biomedical Research), teach constructs comprising HIV genomes having an alteration in a nucleotide sequence which is critical for genomic RNA packaging, and the production of non-infectious immunogenic HIV particles produced by expression of these constructs in mammalian cells.

PCT application WO 91/07425 published May 30, 1991 (Oncogen Limited Partnership) teaches non-replicating retroviral particles produced by coexpression of mature retroviral core and envelope structural proteins such that the expressed retroviral proteins assemble into

budding retroviral particles. A particular non-replicating HIV-1 like particle was made by coinfecting mammalian host cells with a recombinant vaccinia virus carrying the HIV-1 gag and protease genes and a  
5 recombinant vaccinia virus carrying the HIV-1 env gene.

In published PCT application WO 91/05864 in the name of the assignee hereof (which is incorporated herein by reference thereto), and corresponding granted US Patents Nos. 5,439,809 and 5,571,712, there is  
10 described particular non-infectious non-replicating retrovirus-like particles containing at least gag, pol and env proteins in their natural conformation and encoded by a modified retroviral genome deficient in long terminal repeats and containing gag, pol and env  
15 genes in their natural genomic arrangement.

In WO 96/06177 and corresponding copending United States Patent Application No. 08/292,967 filed August 22, 1994, assigned to the assignee hereof and the disclosures of which are incorporated herein by  
20 reference, there are described further mutations to the HIV genome of the constructs of US Patents Nos. 5,439,809 and 5,571,712 to reduce gag-dependent RNA packaging of the HIV-1 genome, to eliminate reverse transcriptase activity of the pol gene product, to  
25 eliminate integrase activity of the pol gene product and to eliminate RNase activity of the pol gene product, through genetic manipulation of the gag and pol genes.

In the preferred vectors described in the aforementioned US Patents Nos. 5,439,809 and 5,571,712 and USAN 08/292,967, a metallothionein promoter is employed, which requires the addition of an inducer for  
30 expression to be effected. The use of such promoters for commercial scale production of such HIV-like

particles is impractical, in view of the cost of the heavy metals employed and the toxic effect of such heavy metals on the expression cells.

5 It is desirable, therefore, to employ a constitutive promoter for expression of the HIV-like particles. However, it has been found that substitution of a constitutive promoter, results in cell toxicity, limiting the useful period of induction of the HIV-like particles.

10 SUMMARY OF INVENTION

It has now been surprisingly found that, by effecting specific genetic modification to the HIV genome, as set forth herein, it is possible to effect long term constitutive expression of non-infectious, non-replicating, immunogenic HIV-like particles without causing any toxic effect on the mammalian cells expressing the particles.

15 In accordance with one aspect of the present invention, there is provided a nucleic acid molecule, comprising a modified HIV genome devoid of long terminal repeats and wherein *vpr* and *tat* sequences are functionally disabled and a constitutive promoter operatively connected to the modified HIV genome for constitutive expression of the modified genome to produce non-infectious, non-replicating and immunogenic HIV-like particles.

20 The *vpr* and *tat* sequences may be functionally disabled by the insertion of stop codons therein preventing expression of the respective encoded gene products.

30 The HIV genome may be further modified by replacing the signal peptide of gp120 by a gp120 expression enhancing sequence, specifically the signal



peptide encoding sequence of glycoprotein D (gD) of herpes simplex virus (HSV).

In a preferred embodiment of the invention, the env gene in the nucleic acid molecule encodes a env gene product from a primary HIV-1 isolate.

In accordance with the aforementioned USAN 08/292,967 (WO 96/06177), the HIV genome of the nucleic acid molecule may be further modified to effect reduction in gag-dependent RNA packaging of the gag gene product. Such reduction in gag-dependent RNA packaging of the gag gene product may be effected by replacing Cys 392 and Cys 395 of the gag gene product of HIV-1 LAI isolate, or the corresponding amino acids of another isolate, by serine.

In addition or alternatively, also in accordance with USAN 08/292,967 (WO 96/06177), the HIV genome of the nucleic acid molecule may be further modified to substantially eliminate reverse transcriptase activity, integrase activity and RNase activity. In this regard, a *BalI-BalI* portion of the *pol* gene may be deleted between nucleotides 2655 and 4507 of the LAI isolate of HIV-1 or the corresponding portion of the *pol* gene of another HIV-1 isolate.

The constitutive promoter employed herein may be the human immediate early cytomegalovirus promoter or any other convenient constitutive promoter of expression of the non-infectious, non-replicating immunogenic HIV-like particles in mammalian cells. An expression enhancing sequence may be provided between the promoter and the modified genomes. Such an expression enhancing sequence may be the human cytomegalovirus Intron A sequence.

For the purposes of expression of the HIV-like particles, the nucleic acid molecule provided herein

may be incorporated into an expression vector, which may be one having the identifying characteristics of plasmid pCMVgDtat<sup>-</sup>vpr<sup>-</sup>, as described in detail below.

5 The present invention, in another aspect thereof, provides a method of obtaining a non-infectious, non-replicating, immunogenic HIV-like particle, which comprises incorporating into an expression vector a nucleic acid molecule comprising a modified HIV genome devoid of long terminal repeats and wherein vpr and tat  
10 sequences are functionally disabled and a constitutive promoter operatively connected to the modified HIV genome, introducing the expression vector into mammalian cells, and constitutively expressing the nucleic acid molecule in the cells to stably produce  
15 non-infectious, non-replicating, immunogenic HIV-like particles.

The nucleic acid molecule incorporated into the expression vector may have the various features discussed above with respect to the nucleic acid  
20 molecule aspect of the invention. In addition to constitutive expression, expression of the nucleic acid molecule may also be enhanced by employing chemical agents which enhance the specific promoter employed. The expression vector preferably is one having the  
25 identifying characteristics of plasmid pCMVgDtat<sup>-</sup>vpr<sup>-</sup>.

Such method enables the HIV-like particles to be produced over a long term without any adverse toxic effect on the mammalian cells. As seen below, applicants have maintained constitutive expression  
30 through more than 50 passages of the cells. The present invention extends to the non-infectious, non-replicating immunogenic HIV-like particles lacking Tat and Vpr and producible by the method aspect of the invention as well as immunogenic compositions

comprising the same and methods of immunization using such compositions.

The non-infectious, non-replicating immunogenic HIV-like particles produced according to the procedure of the invention may be employed in immunogenic compositions, as described in the aforementioned US Patents Nos. 5,439,809 and 5,571,712 and United States Patent application No. 08/292,967 (WO 96/06177), for inducing an immune response in a host.

Advantages of the present invention include the ability to effect long-term production of non-infectious, non-replicating immunogenic HIV-like particles, thereby providing an expression system for such particles which is more useful in commercial production of the HIV-like particles than the systems previously considered.

#### BRIEF DESCRIPTION OF DRAWINGS

Figure 1 shows the genetic map of expression plasmid p83-19 which contains a modified form of the 8.3 kb *SacI*-*XhoI* (nucleotides 678 to 8944) from the LAI HIV genome. The fragment lacks LTR elements and primer binding site and is inserted into an expression vector containing the metallothionein (MT) promoter and the SV40 virus polyadenylation site. The *gag* gene is modified to eliminate the RNA packaging sequences by replacing the codons encoding the two cysteine residues (Cys 392 and Cys 395) in the first Cys-His box by codons encoding serine. The *pol* gene has been modified by deletion of a portion to substantially remove the reverse transcriptase and integrase activities thereof. A oligonucleotide has been inserted within the deleted *pol* gene to introduce three stop codons in three different reading frames to prevent the remaining sequences of integrase from being translated. The *env*

gene is a hybrid gene comprising the gp120 coding sequence of HIV-1 isolate MN and the gp41 coding sequence of isolate LAI.

Figure 2A shows expression plasmid pCMVgDtat<sup>-</sup>vpr<sup>-</sup> while Figure 2B shows the genetic map of the pCMV-pA segment of the expression plasmid. The expression plasmid pCMVgDtat<sup>-</sup>vpr<sup>-</sup> is derived from plasmid p83-19 (Figure 1) and contains the human cytomegalovirus (CMV) promoter and enhancer element as well as CMV Intron A sequences in place of the MT promoter. The coding sequences for the regulatory proteins Tat and Vpr were modified to prevent synthesis of both proteins upon expression. The signal peptide fragment of HIV-1 gp120 was replaced by the signal peptide fragment of the glycoprotein D (gD) of Herpes Simplex Virus (HSV). In addition, the G418 resistance gene was co-linearly inserted into the plasmid and placed under the regulation of the SV40 promoter and polyadenylation sequences.

Figure 3, comprising panels A, B and C, shows Western blot analysis of HIV virus-like particles expressed from a Vero cell line containing the expression vector pCMVgDtat<sup>-</sup>vpr<sup>-</sup> (clone Vero-356) which was established after stable transfection of Vero cells. The Western blot analysis shows the continued stable production of HIV-like particles with increasing passage number, panels A (passages 14 to 18) and B (passages 46 to 51), and upregulation of particle production following induction with sodium butyrate (NaBu) alone or NaBu plus dexamethasone (Dexam), panel C.

#### GENERAL DESCRIPTION OF THE INVENTION

The present invention enables the long term constitutive expression of non-infectious, non-

replicating immunogenic HIV-like particles to be achieved through genetic manipulation of the HIV-1 genome. As described above, the present invention uses a constitutive promoter which is coupled to a modified  
5 HIV genome lacking LTRs.

The HIV genome is modified to disable *vpr* and *tat* to prevent their expression. Such disabling may be achieved by the insertion of stop codons, including multiple stop codons, to prevent translation of the  
10 genes and thereby preventing the formation of Vpr and Tat. By disabling these genes and preventing their expression, any toxic effect of these gene products on the mammalian cells is eliminated and hence a long-term production of the HIV-like particles can be achieved.

15 In addition, it is preferred to replace the sequence encoding the endogenous gp120 signal peptide with a signal peptide which enhances expression of the gp120, for example, the signal peptide of glycoprotein D of the Herpes Simplex Virus.

20 An expression vector useful herein may be prepared by genetic modification of plasmid p83-19, shown in Figure 1. This plasmid, the preparation of which is described in the aforementioned United States Patent Application No. 08/292,967 (WO 96/06177), encodes an  
25 HIV-like particle deficient in a plurality of elements required for infectivity and/or replication of HIV but dispensable for virus-like particle production. Plasmid p83-19 is derived from plasmid pMTHIVBRU described in the aforementioned US Patent No. 5,439,809 and  
30 5,571,712. The HIV-like particle contains the *env* gene product which is substantially the envelope of HIV-1 isolate MN. The HIV-like particle may contain other *env* gene products, particularly those from clinical isolates from HIV-1 infected patients, such as a

primary HIV-1 isolate from clades A, B, C, D, E and O, including the specific isolate Bx08. The *env* gene products also may be a chimera of the gp120 protein from one source and the gp41 from another source, such as MN/LAI, Bx08/LAI and clades/LAI chimeras.

In the plasmid p83-19, the HIV genome comprises the *SacI*-*XhoI* restriction fragment of HIV-1 LAI isolate and encompasses nucleotides 678 to 8944 and is deficient in primer binding site. The *gag* gene has been modified to replace two cysteine residues (Cys<sup>392</sup> and Cys<sup>395</sup>) in the *gag* gene product with serine, so as to inhibit RNA packaging. Furthermore, the *pol* gene has been modified to delete a large portion of the *pol* gene so as to remove the reverse transcriptase and integrase activities of the *pol* gene product, with an oligonucleotide sequence GTATAAGTGAGTAGCGGCCGCAC (SEQ ID NO:7) being inserted within the reading frames to introduce stop codons to prevent the remaining sequences of integrase from being translated.

The plasmid p83-19 is modified to provide plasmid pCMVgDtat<sup>-</sup>vpr<sup>-</sup>, a plasmid 14531 bp in length and the genetic elements and characteristics of which are shown in Figures 2A and 2B. The human metallothionein (MT) promoter present in p83-19 is replaced by the human immediate early cytomegalovirus (CMV) promoter and enhancer element. The signal peptide of gp120 is replaced by a sequence encoding the signal peptide fragment of glycoprotein D (gD) of Herpes Simplex Virus (HSV). This replacement is achieved by site directed mutagenesis, as described in Example 2 below, using specific primers.

The expression of the Tat protein is prevented by inserting stop codons at an appropriate site in the *tat* gene, specifically employing two stop codons

(nucleotides TAATAG) replacing nucleotides TGGAAG (nucleotides 5896 to 5901) of HIV-1<sub>LAI</sub>. Such mutation is effected by site directed mutagenesis, as described in Example 2 below, using a specific primer.

5       The expression of Vpr protein is prevented by inserting stop codons at an appropriate site in the *vpr* gene, specifically employing a stop codon (nucleotide TAG) at two different loci within the Vpr coding sequence, with the first stop codon replacing HIV-1<sub>LAI</sub> nucleotides 5625 to 5627 and the second stop codon replacing nucleotides 5631 to 5633. Such mutation is effected by site-directed mutagenesis, as described in Example 2 below, using a specific primer.

10       A gene conferring resistance to G418 is colinearly inserted into the plasmid and placed under the regulation of SV40 promoter and polyadenylation sequences. The final plasmid assembly is shown in Figure 2A.

15       The plasmid pCMVgDtat<sup>-</sup>vpr<sup>-</sup> may be stably transfected into monkey kidney Vero cells or other mammalian cells by any convenient procedure for expression of non-infectious, non-replicating immunogenic HIV-like particles therefrom. G418 resistance cell lines are cloned and screened for production of the particles in the culture supernatant by measuring the amount of particle-associated Gag p24 protein using a suitable antibody. Such a screening procedure is described in the aforementioned US Patents Nos. 5,439,809 and 5,571,712.

20       Cell lines secreting the HIV-like particles were found to stably produce HIV-1-like particles and to continue to produce such HIV-like particles with increasing passage number, as may be seen from the Western blot analysis of Figure 3. HIV-1-like

particles can be isolated and analysed by Western blot using monoclonal antibodies specific for p24 (Gag) and gp120 (Env).

5 The levels of expression of the HIV-like particle may be increased by induction using sodium butyrate with or without dexamethasone, as seen from Example 3 and Figure 3, panel C.

10 Since the genetic modifications which have been made to the HIV genome do not involve modification to immunogenic components of the HIV-like particle, the immunogenicity of the particles, as shown in US Patents Nos. 5,571,712 and 5,439,809 and USAN 08/292,967 (WO 96/06177), is not impaired.

15 The non-infectious, non-replicating immunogenic HIV-like particles provided herein can be used in a variety of ways, as described in more detail below. The genetic modifications which have been made herein enable such HIV-like particles to be produced on a commercial scale from stably transformed cell lines expressing the particles in significant quantities, in contrast to prior art expression systems.

#### Vaccine Preparation and Use

20 One possible use of the non-infectious, non-replicating immunogenic HIV-like particles produced by the present invention is as the basis of a potential vaccine against retroviral diseases including AIDS and AIDS-related conditions.

30 Immunogenic compositions, suitable to be used as vaccines, may be prepared from the non-infectious, retrovirus-like particles. The immunogenic composition elicits an immune response which produces antibodies that are antiviral. Should the vaccinated subject be challenged by a retrovirus, such as HIV, the antibodies bind to the virus and thereby inactivate it. The



immunogenic composition may also elicit cytotoxic T-lymphocytes (CTLs) which are able to lyse virally-infected cells.

Vaccines may be prepared as injectables, as liquid  
5 solutions or emulsions. The non-infectious HIV-like particles may be mixed with pharmaceutically-acceptable excipients which are compatible with the retrovirus-like particles. Excipients may include water, saline, dextrose, glycerol, ethanol, and combinations thereof.  
10 The vaccine may further contain auxiliary substances, such as wetting or emulsifying agents, pH buffering agents, or adjuvants to enhance the effectiveness of the vaccines. Methods of achieving an adjuvant effect for the vaccine include the use of agents, such as aluminum  
15 hydroxide or phosphate (alum), commonly used as 0.05 to 0.1 percent solution in phosphate buffered saline and other adjuvants, including QS21 and incomplete Freund's adjuvant. Vaccines may be administered parenterally, by injection subcutaneously or intramuscularly.  
20 Alternatively, the immunogenic compositions formed according to the present invention, may be formulated and delivered in a manner to evoke an immune response at mucosal surfaces. Thus, the immunogenic composition may be administered to mucosal surfaces by, for example, the  
25 nasal or oral (intra-gastric) routes. Alternatively, other modes of administration including suppositories and oral formulations may be desirable. For suppositories, binders and carriers may include, for example, polyalkylene glycols or triglycerides. Oral  
30 formulations may include normally employed excipients, such as pharmaceutical grades of saccharine, cellulose and magnesium carbonate. These compositions take the form of solutions, suspensions, tablets, pills, capsules, sustained-release formulations or powders and

contain 10 to 95% of the retrovirus-like particles of the invention.

5 The vaccines are administered in a manner compatible with the dosage formulation, and in such amount as is therapeutically effective, protective and immunogenic. The quantity to be administered depends on the subject to be treated, including, for example, the capacity of the individual's immune system to synthesize antibodies, and to produce a cell-mediated immune response. Precise amounts of active ingredient required to be administered depend on the judgement of the practitioner. However, suitable dosage ranges are readily determinable by one skilled in the art and may be of the order of micrograms of the HIV-like particles. 10 Suitable regimes for initial administration and booster doses are also variable, but may include an initial administration followed by subsequent administrations. One example of an immunization schedule is at least one primary immunization with an HIV-like particle, produced according to the present invention, followed by at least one secondary immunization with a synthetic tandem T-B peptide containing a HIV T-cell epitope and a HIV B-cell epitope as described in European Patent No. 0 470 980 and corresponding copending United States Patent 15 Application No. 07/768,608 filed May 3, 1990 or WO 94/29339 and corresponding US Patent No. 5,639,854, assigned to the assignee hereof and the disclosures of which are incorporated herein by reference. The dosage of the vaccine may also depend on the route of administration and will also vary according to the size of the host. 20 25 30

Molecules produced in accordance with the invention may further find use in the treatment (prophylactic or curative) of AIDS and related conditions, by acting

either to displace the binding of the HIV virus to human or animal cells or by disturbing the 3-dimensional organization of the virus.

#### Immunoassays

5           The HIV-like particles produced by the method of the present invention are useful as immunogens, as antigens in immunoassays including enzyme-linked immunosorbent assays (ELISA), RIAs and other non-enzyme linked antibody binding assays, or procedures known in  
10 the art for screening anti-retroviral compounds, for the detection of anti-retroviral (for example, HIV) HIV antibodies and retroviral antigen (for example, HIV). In ELISA assays, the retrovirus-like particles are immobilized onto a selected surface, for example a  
15 surface capable of binding proteins, such as the wells of a polystyrene microtitre plate. After washing to remove incompletely adsorbed retrovirus-like particles, a non-specific protein, such as a solution of bovine serum albumin (BSA) or casein, that is known to be  
20 antigenically neutral with regard to the test sample may be bound to the selected surface. This allows for blocking of non-specific adsorption sites on the immobilizing surface and thus decreases the background caused by non-specific bindings of antisera onto the  
25 surface.

          The immobilizing surface is then contacted with a sample, such as clinical or biological materials to be tested, in a manner conducive to immune complex (antigen/antibody) formation. This may include diluting  
30 the sample with diluents, such as solutions of BSA, bovine gamma globulin (BGG) and/or phosphate buffered saline (PBS)/Tween. The sample is then allowed to incubate for from about 2 to 4 hours, at temperatures such as of the order of about 25° to 37°C. Following

incubation, the sample-contacted surface is washed to remove non-immunocomplexed material. The washing procedure may include washing with a solution, such as PBS/Tween, or a borate buffer.

5       Following formation of specific immunocomplexes between the test sample and the bound retrovirus-like particles, and subsequent washing, the occurrence, and even amount, of immunocomplex formation may be determined by subjecting the immunocomplex to a second  
10       antibody having specificity for the first antibody. If the test sample is of human origin, the second antibody is an antibody having specificity for human immunoglobulins and in general IgG. To provide detecting means, the second antibody may have an  
15       associated activity, such as an enzymatic activity that will generate, for example, a colour development upon incubating with an appropriate chromogenic substrate. Quantification may then be achieved by measuring the degree of colour generation using, for example, a  
20       visible spectra spectrophotometer.

      In one diagnostic embodiment where it is desirable to identify antibodies that recognize a plurality of HIV isolates, a plurality of antigenically-distinct HIV-like particles of the present invention are immobilized onto  
25       the selected surface. Alternatively, when the anti-HIV antibodies recognize epitopes that are highly conserved among various HIV isolates (for example, a B-cell epitope from gag or gp41) a single or a limited number of retrovirus-like particles may be immobilized. In a  
30       further diagnostic embodiment where it is desirable to specifically identify antibodies that recognize a single HIV isolate (for example, LAI, MN, SF2 or HXB2), a single particular HIV-like particle of the present invention may be immobilized. This further diagnostic

embodiment has particular utility in the fields of medicine, clinical trials, law and forensic science where it may be critical to determine the particular HIV isolate that was responsible for the generation of an immune response including an antibody response.

In a further diagnostic embodiment, it may be desirable to specifically identify immunologically distinct retroviruses, for example, HIV isolates that belong to different clades. Immunologically distinct HIV isolates may include, for example, LAI, MN, SF2, HXB2 or a primary HIV-1 isolate. In this diagnostic embodiment, a particular HIV-like particle of the present invention is useful for generating antibodies including monoclonal antibodies that specifically recognize such an immunologically-distinct HIV isolate.

It is understood that a mixture of immunologically distinct HIV-like particles may be used either as an immunogen in, for example, a vaccine or as a diagnostic agent. There may be circumstances where a mixture of HIV-like particles are used to provide cross-isolate protection and/or diagnosis. In this instance, the mixture of immunogens is commonly referred to as a "cocktail" preparation.

The present invention advantageously provides HIV-like particles comprising *gag* and *env* gene products substantially in their native conformations. Such retrovirus particles will thus be recognized by conformational anti-HIV antibodies (such as anti-*env* antibodies) that may not recognize the HIV antigen in a denatured form or a synthetic peptide corresponding to such an HIV antigen. The HIV-like particles are, therefore, particularly useful as antigens and as immunogens in the generation of anti-retroviral

antibodies (including monoclonal antibodies) in diagnostic embodiments.

Other Uses

5 Molecules which bind to the HIV-like particles, particularly antibodies, antibody-related molecules and structural analogs thereof, are also of possible use as agents in the treatment and diagnosis of AIDS and related conditions.

10 Variants of antibodies (including variants of antigen binding site), such as chimeric antibodies, humanized antibodies, veneered antibodies, and engineered antibodies that are specific for the retrovirus-like particles are included within the scope of the invention.

15 Antibodies and other molecules which bind to the HIV-like particles can be used for therapeutic (prophylactic and curative) and diagnostic purposes in a number of different ways, including the following:

20 For passive immunization by suitable administration of antibodies, possibly humanized antibodies, to HIV infected patients.

25 To activate complement or mediate antibody dependent cellular cytotoxicity (ADCC) by use of antibodies of suitable subclass or isotype (possibly obtained by appropriate antibody engineering) to be capable of performing the desired function.

30 For targeted delivery of toxins or other agents, for example, by use of immunotoxins comprising conjugates of antibody and a cytotoxic moiety, for binding directly or indirectly to cell-surface exposed HIV proteins of HIV-infected cells (for example, gp120).

For targeted delivery of highly immunogenic materials to the surface of HIV-infected cells, leading

to possible ablation of such cells by either the humoral or cellular immune system of the host.

For detection of HIV, using a variety of immunoassay techniques.

5        Thus, in yet a further diagnostic embodiment of the invention, the immunogenic compositions (individually, or as mixtures including cocktail preparations) are useful for the generation of HIV antigen specific antibodies (including monoclonal antibodies) that can be  
10       used to detect HIV or antigens, or neutralize HIV in samples including biological samples.

      In an alternative diagnostic embodiment, the HIV-like particles can be used to specifically stimulate HIV specific T-cells in biological samples from, for  
15       example, HIV-infected individuals for diagnosis or therapy.

#### Biological Deposits

      Certain plasmids that encode HIV-like particles and are employed in aspects of the present invention that  
20       are described and referred to herein have been deposited with the American Type Culture Collection (ATCC) located at 10801 University Boulevard, Manassas, Virginia 20110-2209, USA, pursuant to the Budapest Treaty and prior to the filing of this application. Samples of the  
25       deposited plasmids will become available to the public upon grant of a patent based upon this United States patent application and all restrictions imposed on access to the deposit will be removed. Deposits will be replaced if the depository is unable to dispense viable  
30       samples. The invention described and claimed herein is not to be limited in scope by the plasmids deposited, since the deposited embodiment is intended only as an illustration of the invention. Any equivalent or similar plasmids that encode similar or equivalent HIV-

like particles as described in this application are within the scope of the invention.

#### Deposit Summary

	<u>Plasmid</u>	<u>ATCC Designation</u>	<u>Date Deposited</u>
5	pMTHIVBRU	75852	August 4, 1994
	pCMVgDtat <sup>-</sup> vpr <sup>-</sup>	209446	November 11, 1997

#### EXAMPLES

The above disclosure generally describes the present invention. A more complete understanding can be  
10 obtained by reference to the following specific Examples. These Examples are described solely for purposes of illustration and are not intended to limit the scope of the invention. Although specific terms have been employed herein, such terms are intended in a  
15 descriptive sense and not for purposes of limitations. Immunological and recombinant DNA methods may not be explicitly described in this disclosure but are well within the scope of those skilled in the art.

#### Example 1:

20 This Example describes the construction of plasmid p83-19.

Plasmid p83-19 was constructed as described in the aforementioned United States Patent Application No. 08/292,967 (WO 96/06177) from pMTHIVBRU (ATCC 75852) as  
25 shown in Figure 3 thereof. pMTHIVBRU is described in the aforementioned US Patents Nos. 5,439,809 and 5,571,712. Plasmid p83-19 contains a hybrid envelope gene which was engineered by replacing DNA encoding most of gp120<sub>LAI</sub> with the conjugate DNA encoding  
30 gp120<sub>MN</sub>. This result was accomplished by replacing a KpaI/BglII DNA fragment (nucleotides 6379 to 7668) from HIV-1<sub>LAI</sub> with KpnI/BglII DNA fragment (nucleotides 6358 to 7641) from HIV-1<sub>MN</sub>. The genetic map for plasmid p83-19 is shown in Figure 1.



Example 2:

This Example describes the construction of plasmid pCMVgDtat<sup>-</sup>vpr<sup>-</sup>.

Plasmid pCMVgDtat<sup>-</sup>vpr<sup>-</sup> was constructed from  
5 plasmid p83-19, (Example 1). The human metallothionein promoter from p83-19 was replaced with the human cytomegalovirus (CMV) promoter and enhancer element as well as CMV Intron A sequences. The CMV sequences that  
10 were used correspond to a *SspI*-*PstI* DNA fragment (nucleotides 460 to 2087) described in ref. 9. The signal peptide fragment from HIV-1 gp120 was replaced by the signal peptide fragment of the glycoprotein D (gD) of Herpes Simplex Virus (HSV). This was accomplished by gene assembly-aided mutagenesis (GAAM),  
15 as previously described (ref. 10).

Three oligonucleotides were synthesized: an upstream primer having the sequence 5'-TATGACGACAAACAA  
AATCACGGCCCCAACCTGGCGGCAGTCCCCCATTTGCCACTGTCTTCTGCTCT  
20 TTCTATTA-3' (SEQ ID NO: 1), in which the last 27 nucleotides are complementary to nucleotides 6230 to 6256 of HIV-1<sub>LAI</sub>, (all nucleotide numbering is according to ref. 11 and HIV Los Alamos Database, 1988); a downstream primer having the sequence 5'-  
CCCATAATAGACTGTGACCCACAATTTTCTGTGAGAGAGGCATCCGCCAAGGCA  
25 TATTTGCCGCGGACCCCATGGAGGCCAC-3' (SEQ ID NO:2), in which the first 33 nucleotides are complementary to nucleotides 6347 to 6379 of HIV-1<sub>LAI</sub>; a bridging oligonucleotide having the sequence 5'-  
TTGTTTGTCTCATAGTGGGCCTCCATGGG-3' (SEQ ID NO:3), in  
30 which the first 15 oligonucleotides are complementary to the 5'-end 15 nucleotides of the upstream oligonucleotide while the last 15 nucleotides are complementary to the 3'-end 15 nucleotides of the downstream primer.

The expression of most of the Tat protein was prevented by inserting two stop codons (nucleotides TAATAG) which replaced nucleotides TGGAAG (nucleotides 5896 to 5901) of HIV-1<sub>LAI</sub>. This mutation was generated by site-directed mutagenesis using the following oligonucleotide: 5'-GACTTCCTGGATGCTATTAGGGCTCTAGTCTAG-3' (SEQ ID NO:4). The expression of most of the Vpr protein was prevented by inserting a stop codon (nucleotides TAG) at two different loci within the Vpr coding sequences. The first stop codon replaced HIV-1<sub>LAI</sub> nucleotides 5625 to 5627 while the second stop codon replaced nucleotides 5631 to 5633. These mutations were inserted by site-directed mutagenesis using the following oligonucleotide: 5'-AAGACCAAGGGCCATAGAGGTAGCCACACAATGAA-3' (SEQ ID NO: 5). Finally, the gene conferring resistance to G418 was co-linearly inserted into the same plasmid and replaced under the regulation of the SV40 promoter and polyadenylation sequences. A map of the resulting plasmid pCMVgDtat<sup>-</sup>vpr<sup>-</sup> is shown in Figure 2A while details of the genomic modifications are shown in Figure 2B.

### Example 3:

This Example illustrates the constitutive expression of HIV-1-like particles from a Vero cell clone established after stable transfection with plasmid pCMVgDtat<sup>-</sup>vpr<sup>-</sup>.

Plasmid pCMVgDtat<sup>-</sup>vpr<sup>-</sup> prepared as described in Example 2, was stably transfected into monkey kidney Vero cells by the transfinity (BRL) calcium phosphate procedure. Approximately 400 stable G418<sup>R</sup> cell lines were cloned and screened for production of HIV-1-like particles by measuring the amount of particle-associated Gag p24 protein in the culture supernatants, as described in U.S. Patents Nos. 5,439,809 and

5,571,712. One cell line secreting about 50 ng/ml of p24 was identified (clone Vero-356) and found to stably produce HIV-1-like particles with increasing passage number, as illustrated in Figure 3, panels A and B.

5 HIV-1-like particles were isolated by ultracentrifugation, and pelleted particles were analysed by Western Blot as described in USAN 08/292,967 (WO 96/06177) using monoclonal antibodies specific for p24 (anti-p24) and gp120 (Mab 50.1) (Figure

10 3, panels A and B). The Western blot analysis shows continued production of HIV-like particles after over fifty passages, in considerable contrast to the results previously achieved.

The levels of particle production were increased

15 three-fold by inducing clone Vero-356 with 5 mM sodium butyrate (NaBu), and five- to eight-fold by inducing the cell line with a mixture of 5 mM NaBu and 400 ng/ml dexamethasone (Dexam) (Figure 3, panel C).

#### SUMMARY OF THE DISCLOSURE

20 In summary of the disclosure, the present invention provides a novel procedure for the preparation of non-infectious, non-replicating immunogenic HIV-like particles by constitutive expression by eliminating elements which are toxic to

25 the mammalian cells and nucleic acid molecules useful in such procedure. Modifications are possible within the scope of the invention.

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CLAIMS

What we claim is:

1. A nucleic acid molecule, comprising a modified HIV genome devoid of long terminal repeats and wherein *vpr* and *tat* sequences are functionally disabled and a constitutive promoter operatively connected to said modified HIV genome for constitutive expression of said modified genome to produce non-infectious, non-replicating and immunogenic HIV-like particles.
2. The nucleic acid molecule of claim 1 wherein said *vpr* and *tat* sequences are functionally disabled by the insertion of stop codons therein preventing expression of the respective encoded gene products.
3. The nucleic acid molecule of claim 1 wherein the HIV genome is further modified by replacing the signal peptide encoding sequence of *gp120* by the signal peptide encoding sequence of glycoprotein D of herpes simplex virus.
4. The nucleic acid molecule of claim 1 wherein the *env* gene encodes an *env* gene product from a primary HIV-1 isolate.
5. The nucleic acid molecule of claim 1 wherein said HIV genome is further modified to effect reduction in gag-dependent RNA packaging of the *gag* gene product.
6. The nucleic acid molecule of claim 5 wherein said reduction in gag-dependent RNA packaging of the *gag* gene product is effected by replacing Cys 392 and Cys 395 of the *gag* gene product of HIV-1 LAI isolate, or the corresponding amino acids of another HIV isolate, by serine.
7. The nucleic acid molecule of claim 1 wherein said HIV genome is further modified to substantially eliminate reverse transcriptase activity, integrase activity and RNase activity.

8. The nucleic acid molecule of claim 7 wherein a *BalI*-*BalI* portion of *pol* gene is deleted between nucleotides 2655 and 4507 of the LAI isolate of HIV-1 or the corresponding portion of the *pol* gene of another HIV-1 isolate.
9. The nucleic acid molecule of claim 1 wherein the constitutive promoter is the human immediate early cytomegalovirus promoter.
10. The nucleic acid molecule of claim 9 wherein an expression enhancing sequence is provided between said promoter and said modified genome.
11. The nucleic acid molecule of claim 10 wherein said expression enhancing sequence is the human cytomegalovirus Intron A sequence.
12. An expression vector comprising the nucleic acid molecule of claim 1.
13. The expression vector of claim 12 having the identifying characteristics of plasmid pCMVgDtat<sup>-</sup>vpr<sup>-</sup> as shown in Figure 2A as deposited under ATCC Deposit No. 209446.
14. A method of obtaining a non-infectious, non-replicating, immunogenic HIV-like particle, which comprises:
  - incorporating into an expression vector a nucleic acid molecule comprising a modified HIV genome devoid of long terminal repeats and wherein *vpr* and *tat* sequences are functionally disabled and a constitutive promoter operatively connected to said modified HIV genome,
  - introducing the expression vector into mammalian cells, and
  - constitutively expressing the nucleic acid molecule in said cells to stably produce non-

infectious, non-replicating, immunogenic HIV-like particles.

15. The method of claim 14 wherein said *vpr* and *tat* sequences are functionally disabled by the insertion of stop codons therein preventing expression of the respective encoded gene products.

16. The method of claim 14 wherein the HIV genome is further modified by replacing the signal peptide encoding sequence of *gp120* by the signal peptide encoding sequence of glycoprotein D of herpes simplex virus.

17. The method of claim 14 wherein the *env* gene encodes an *env* gene product from a primary HIV-1 isolate.

18. The method of claim 14 wherein said HIV genome is further modified to effect reduction in gag-dependent RNA packaging of the *gag* gene product.

19. The method of claim 18 wherein said reduction in gag-dependent RNA packaging of the *gag* gene product is effected by replacing Cys 392 and Cys 395 of the *gag* gene product of HIV-1 LAI isolate, or the corresponding amino acids of another HIV isolate, by serine.

20. The method of claim 14 wherein said HIV genome is further modified to substantially eliminate reverse transcriptase activity, integrase activity and RNase activity.

21. The method of claim 20 wherein a *BalI-BalI* portion of *pol* gene is deleted between nucleotides 2655 and 4507 of the LAI isolate of HIV-1 or the corresponding portion of the *pol* gene of another HIV-1 isolate.

22. The method of claim 14 wherein the constitutive promoter is the human immediate early cytomegalovirus promoter.

23. The method of claim 22 wherein an expression enhancing sequence is provided between said promoter and said modified genome.
24. The method of claim 23 wherein said expression enhancing sequence is the human cytomegalovirus Intron A sequence.
25. The method of claim 24 wherein expression of the nucleic acid molecule also is induced.
26. The method of claim 14 wherein said expression vector has the identifying characteristics of plasmid pCMVgDtat<sup>-</sup>vpr<sup>-</sup> as deposited with ATCC under Deposit No. 209446 and as shown in Figure 2A.
27. A non-infectious, non-replicating immunogenic HIV-like particle lacking Tat and Vpr and producible by the method of claim 14.
28. An immunogenic composition, comprising the non-infectious, non-replicating immunogenic HIV-like particle claimed in claim 27 and a physiologically-acceptable carrier therefor.
29. The use of the non-infectious, non-replicating immunogenic HIV-like particle of claim 27 as a medicament.
30. The non-infectious, non-replicating immunogenic HIV-like particle of claim 27 when used in the manufacture of a medicament for immunization.



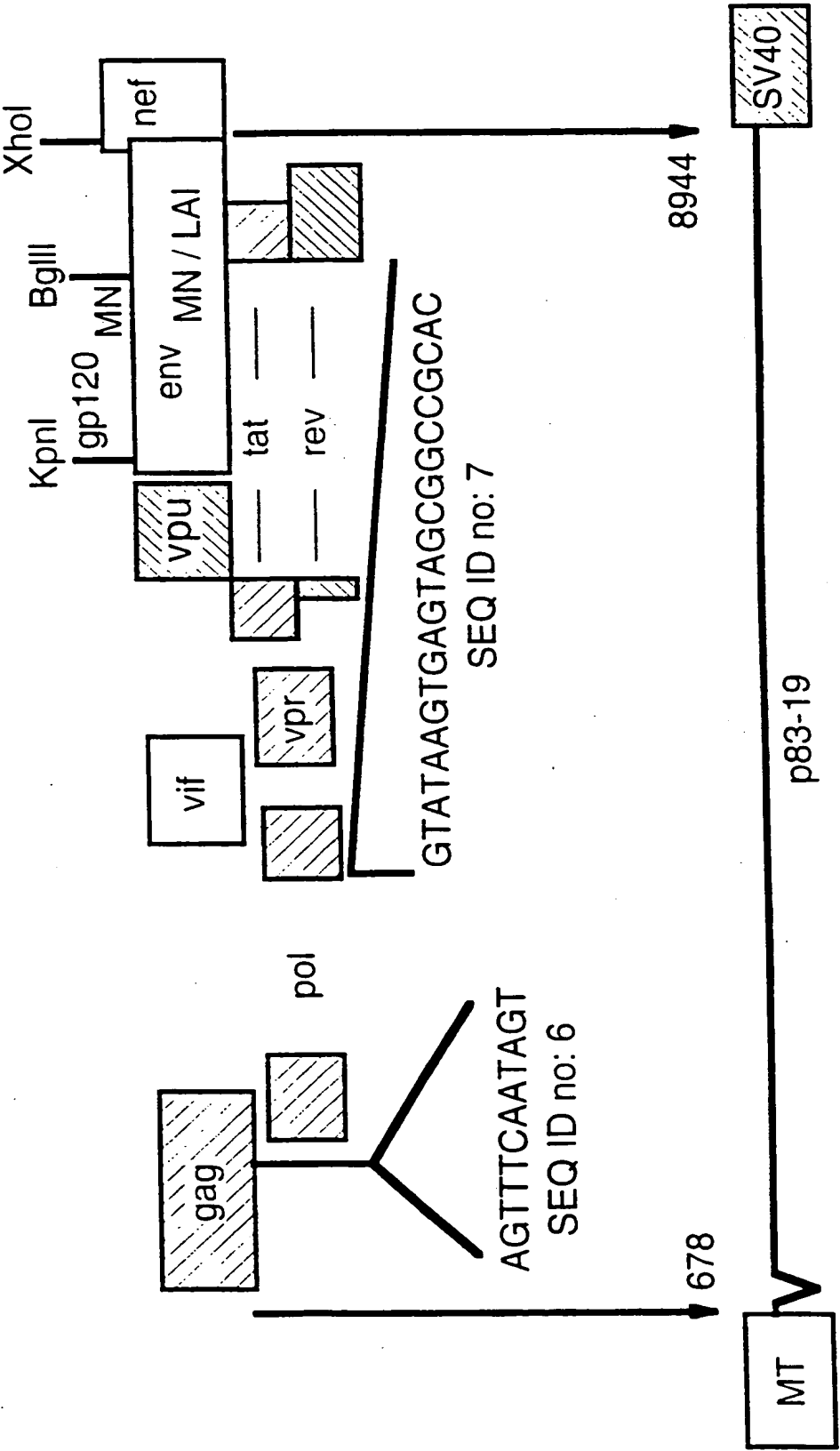


FIG.1

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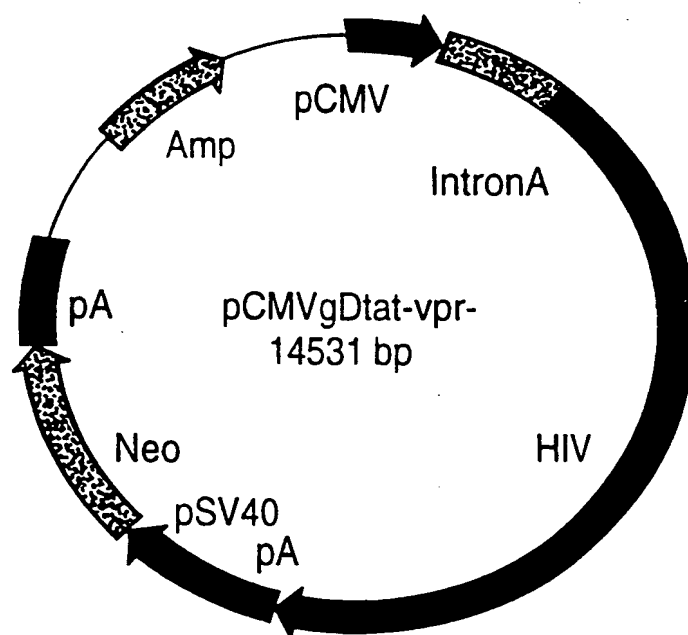


FIG.2A

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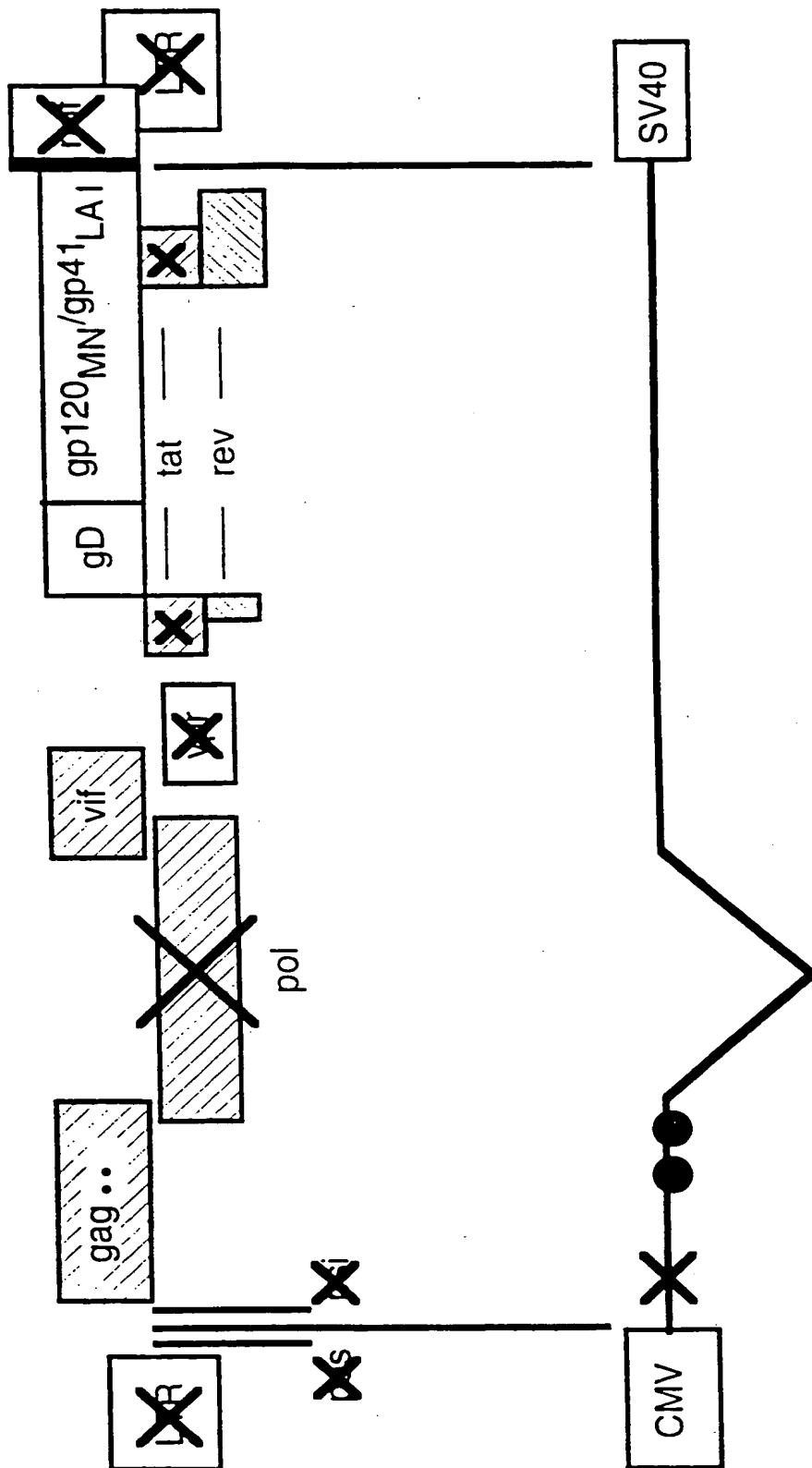
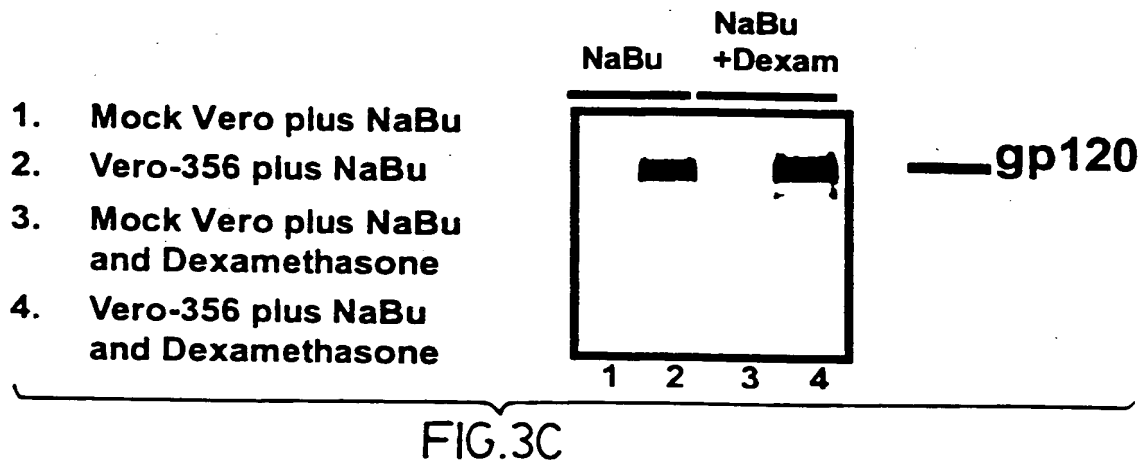
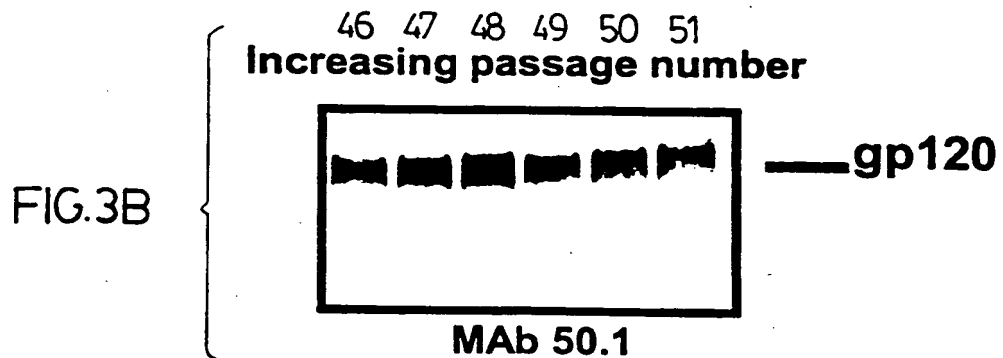
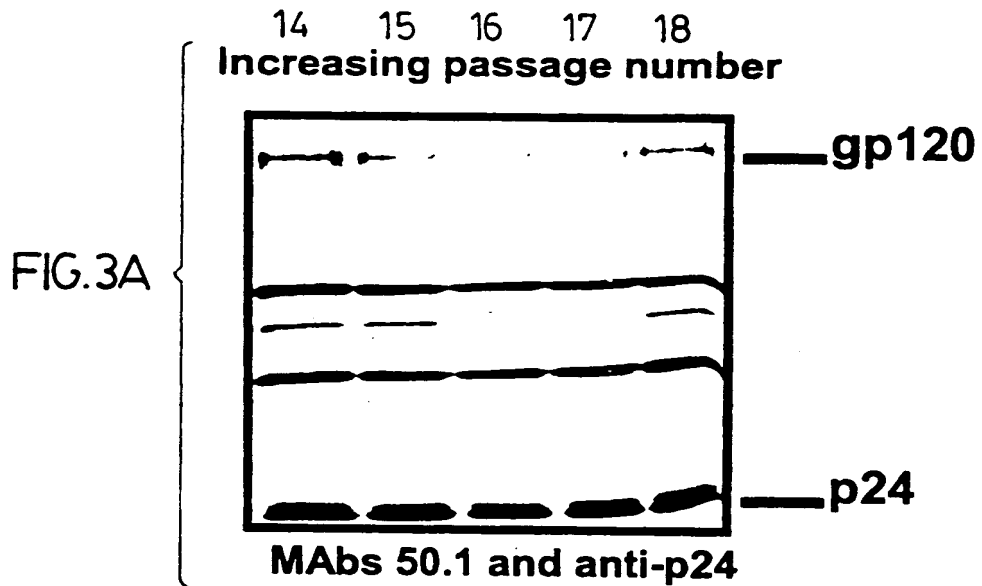


FIG.2B

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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C12N 15/49, 7/04, A61K 39/21</b>	<b>A3</b>	<b>(11) International Publication Number:</b> <b>WO 99/31250</b> <b>(43) International Publication Date:</b> 24 June 1999 (24.06.99)
<b>(21) International Application Number:</b> PCT/CA98/01164 <b>(22) International Filing Date:</b> 14 December 1998 (14.12.98) <b>(30) Priority Data:</b> 08/991,773                      16 December 1997 (16.12.97)      US <b>(71) Applicant (for all designated States except US):</b> CONNAUGHT LABORATORIES LIMITED [CA/CA]; 1755 Steeles Avenue West, North York, Ontario M2R 3T4 (CA). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> ROVINSKI, Benjamin [CA/CA]; 40 Winding Lane, Thronhill, Ontario L4J 5H6 (CA). YAO, Fei-Long [CN/CA]; 81 Elsa Vineway, North York, Ontario M2J 4H8 (CA). CAO, Shi, Xian [CA/CA]; Apartment #408, 716 The West Mall, Etobicoke, Ontario M9C 4X6 (CA). <b>(74) Agent:</b> STEWART, Michael, I.; Sim & McBurney, 6th floor, 330 University Avenue, Toronto, Ontario M5G 1R7 (CA).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>  <b>(88) Date of publication of the international search report:</b> 19 August 1999 (19.08.99)
<b>(54) Title:</b> CONSTITUTIVE EXPRESSION OF NON-INFECTIOUS HIV-LIKE PARTICLES  <b>(57) Abstract</b>  Non-infectious, non-replicating immunogenic HIV-like particles are produced by stable long-term constitutive expression in mammalian cells by eliminating elements toxic to the mammalian cells. An expression vector contains a nucleic acid molecule comprising a modified HIV genome devoid of long terminal repeats and wherein <i>Tat</i> and <i>vpr</i> sequences are functionally disabled and a constitutive promoter operatively connected to the modified HIV genome for constitutive expression of the modified genome to produce the HIV-like particles.		

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# INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 98/01164

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C12N15/49 C12N7/04 A61K39/21

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 96 06177 A (CONNAUGHT LAB ; ROVINSKI BENJAMIN (CA); CAO SHI XIAN (CA); YAO FEI) 29 February 1996 see the whole document	1-30
Y	IGARASHI T ET AL: "Protection of monkeys vaccinated with vpr - and/or nef-defective simian immunodeficiency virus strain mac/human immunodeficiency virus type 1 chimeric viruses: a potential candidate live-attenuated human AIDS vaccine." JOURNAL OF GENERAL VIROLOGY, (1997 MAY) 78 ( PT 5) 985-9. JOURNAL CODE: I9B. ISSN: 0022-1317., XP002105303 ENGLAND: United Kingdom see the whole document	1-30

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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# INTERNATIONAL SEARCH REPORT

Int. l. Application No  
PCT/CA 98/01164

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	DESROSIERS R C: "HIV with multiple gene deletions as a live attenuated vaccine for AIDS." AIDS RESEARCH AND HUMAN RETROVIRUSES, (1992 MAR) 8 (3) 411-21. REF: 69 JOURNAL CODE: ART. ISSN: 0889-2229., XP002105306 United States see the whole document	1-30
Y	CHANG ET AL: "Infection and replication of Tat-minus human immunodeficiency viruses: genetic analyses of LTR and tat mutants in primary and long-term human lymphoid cells" VIROLOGY, vol. 211, no. 1, 1 August 1995, pages 157-169, XP002086398 see page 168, left-hand column, paragraph 3	1-30
Y	WO 91 05864 A (CONNAUGHT LAB) 2 May 1991 see the whole document	1-30
Y	US 5 674 984 A (GREGORY TIMOTHY J ET AL) 7 October 1997 see column 8, paragraph 4; figures 2,3	3,16
Y	CHAPMAN B S ET AL: "EFFECT OF INTRON A FROM HUMAN CYTOMEGALOVIRUS (TOWNE) IMMEDIATE- EARLY GENE ON HETEROLOGOUS EXPRESSION IN MAMMALIAN CELLS" NUCLEIC ACIDS RESEARCH, vol. 19, no. 14, 25 July 1991, pages 3979-3986, XP000569788 see the whole document	11,24
P,Y	WO 98 44788 A (CHANG LUNG JI) 15 October 1998 see claims 23,24	1-30



# INTERNATIONAL SEARCH REPORT

international application No.

PCT/CA 98/ 01164

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark: Although claim(s) 29  
is(are) directed to a method of treatment of the human/animal  
body, the search has been carried out and based on the alleged  
effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such  
an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all  
searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment  
of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report  
covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is  
restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA 98/01164

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